

03-23-01

DOCKET NO.: 1819/100131
EXPRESS MAIL NO.: EL640135458US

03/22/01
JC973 U.S. PTO

03/22/01
JC973 U.S. PTO
09/14634

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM
(*only for new nonprovisional applications under 37 CFR 1.53(b)*)

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231
BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, **124 pages**) of:

Inventor(s): **Naoya Katoh, Noboru Ohta, Shuxue Quan, and Mitchell Rosen**

For : **A SYSTEM AND METHOD FOR ANALYZING, OPTIMIZING AND/OR
DESIGNING SPECTRAL SENSITIVITY CURVES FOR AN IMAGING DEVICE**

***If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

Continuation Divisional Continuation-In-Part (CIP)
of prior application Serial No. _____

*Prior application information: Examiner :
Art Unit :*

Enclosed are:

- 7 sheets** of informal drawings.
- Signed** Combined Declaration and Power of Attorney (_____ pages).
- Copy of signed** Combined Declaration and Power of Attorney (_____ pages) from a prior application (1.63(d)) (for continuation/divisional).
- Signed** statement deleting inventor(s) named in prior application (_____ pages) (1.63(d)(2) and 1.33(b)).
- Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- Assignment (_____ pages) of the invention to _____.
- Assignment Transmittal Letter.
- Certified copy of a foreign priority document.
- Associate power of attorney.
- Applicant claims small entity status. (See 37 CFR 1.27.)

Preliminary Amendment (____ pages).

Information Disclosure Statement, form PTO-1449 (____ pages) and ____ references.

UNSIGNED Combined Declaration and Power of Attorney (3 pages).

Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.

A self-addressed, prepaid postcard acknowledging receipt.

Other:

The Filing fee has been calculated as shown below:

| (Col. 1) | | (Col. 2) | SMALL ENTITY | | LARGE ENTITY | |
|---|-----------|-----------|--------------|-------|--------------|-------|
| FOR: | NO. FILED | NO. EXTRA | RATE | FEE | RATE | FEE |
| BASIC FEE | XXXXXXX | XXXXXXX | XXXX | \$355 | XXXX | \$710 |
| TOTAL CLAIMS | 42 - 20 = | 22 | x 9 = | \$198 | x 18 = | \$ |
| INDEP CLAIMS | 6 - 3 = | 3 | x 40 = | \$120 | X80 = | \$ |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED | | | x135 = | \$ 0 | x270 = | \$ |
| | | | TOTAL | \$673 | TOTAL | \$ |

*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2

Please charge my Deposit Account No. ____ in the amount of \$ _____. **A duplicate copy of this sheet is enclosed.**

A check in the amount of **\$673.00** to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**

Address all future communications to:

Gunnar G. Leinberg, Esq.
NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603

Date: March 22, 2001

Gunnar Leinberg
Gunnar G. Leinberg
Registration No. 35,584

NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603
Telephone: (716) 263-1014
Facsimile: (716) 263-1600

EXPRESS MAIL CERTIFICATE

DOCKET NO. : **1819/100131**

APPLICANT(S) : **Naoya Kato, Noboru Ohta, Shuxue Quan, and Mitchell Rosen**

TITLE : **A SYSTEM AND METHOD FOR ANALYZING, OPTIMIZING
AND/OR DESIGNING SPECTRAL SENSITIVITY CURVES
FOR AN IMAGING DEVICE**

Certificate is attached to the **Utility Patent Application which includes
Specification, Claims, and Abstract (124 pages) UNSIGNED Combined Declaration and
Power of Attorney (3 pages)** of the above-named application.

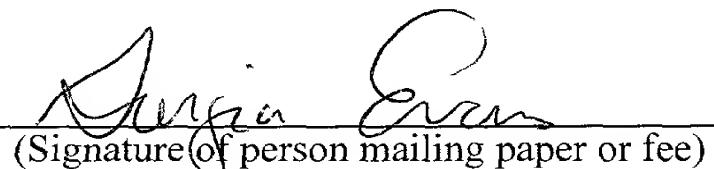
“EXPRESS MAIL” NUMBER: **EL640135458US**

DATE OF DEPOSIT: **March 22, 2000**

I hereby certify that this paper or fee is being deposited with the United States
Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the
date indicated above and is addressed to the Assistant Commissioner for Patents, **Box Patent
Application**, Washington, D.C. 20231.

Georgia Evans

(Typed or printed name of person mailing
paper or fee)


(Signature of person mailing paper or fee)